

Original to be sent to your head of department  
 1 copy remains with the author(s) of the software  
 1 copy to be sent to the administrative head of your institute  
 1 copy to be sent to Max-Planck-Innovation GmbH  
 (Amalienstraße. 33, 80799 München; Fax: 089/290919-99; E-mail: info@max-planck-innovation.de)

*[note: for the disclosure of patentable and non-patentable inventions or know-how (no software) please use the form "Invention Disclosure"]*

## **Software Invention Disclosure**

### **for patentable and non-patentable computer programs, data/databases and algorithms**

of employees and staff members of the

**Max-Planck-Gesellschaft zur Förderung der Wissenschaften e.V. (MPG)**

#### **1. Title and Description of Software**

At the Max Planck Institute (MPI) of .....  
 the following computer program, data/database, or algorithm (hereinafter collectively the "Software") has been developed.

Working Title	in the period
	from
	until

Please enclose the following documents:

- Title and abstract of the Software in English language (approximately 5 lines);
- Detailed description of the Software, including problem, current scientific state of the art, problem solution by the Software, advantages of the Software vis-a-vis current scientific state of the art;
- If available, manuscripts of intended own publications, and existing publications of third parties about the current scientific state of the art.

#### **2. Authors of the Software**

##### **a) Contribution of persons working at the MPI**

The following persons have made a contribution to the Software while working at the MPI

- with an employment agreement with MPG  
 e.g. Directors, Head of Departments, Junior Group Leaders, Group Leaders,  
 or other scientific staff members of the MPI (e.g. post-docs, Ph.D. students),
- without an employment agreement (neither with MPG nor with another employer)  
 e.g. diploma or other students, scholarship holders (MPG or other scholarship).

No.	title, first and last name private address <sup>a)</sup> nationality <sup>a)</sup>	working at the MPI since	dept.	employment with MPG <sup>b)</sup> yes	no	author's share <sup>c)</sup> in %
1.						
2.						
3.						
4.						
5.						

[notes: <sup>a)</sup>: private address and nationality are needed for the patent offices;  
<sup>b)</sup>: depending on whether or not you had an MPG employment contract (see above);  
<sup>c)</sup>: the author's share in % refers to the shares among all MPG-authors;  
a missing statement means equal shares of all MPG-authors]

**b) Contribution of persons not working at the MPI**

The following persons have made a contribution to the Software while (i) being employed by a third party (e.g. scientists of other research organisations, scientists of companies) or (ii) being self-employed (e.g. freelancers, independent researchers).

first and last name, telephone no., e-mail address	name of employer (if applicable)

The share of all third party authors in the joint development of the Software is in total \_\_\_\_\_ %.

**3. Additional Rights by MPG regarding the Software**

Is the Software based on other software that has been developed or is owned by MPG or does it make use of such software?    yes  no

If yes, please list: \_\_\_\_\_

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Please specify if potential third party rights to the Software exist (e.g. has the Software been developed (i) in the course of a collaboration with third parties, (ii) by a third party that has been

subcontracted by the MPI for research and/or development purposes, or (iii) by using private funds under an agreement with a third party). yes  no

If yes, please list:

contractual partner	kind of contract, research project

Please attach any existing agreements (e.g. cooperation agreement, subcontracting research and development agreement, funding agreement).

**5. Use of Open Source Software**

Is the Software based on Open Source Software ("OSS")? yes  no

If yes, is OSS incorporated or does the Software only makes use of it? yes  no

Is the Software based on other software than OSS? yes  no

Is the Software based on Open Content? yes  no

If all questions are answered with "no", please proceed with section 6. Otherwise, please specify:

Third-Party Materials, Open Content or Software	Third-Party Licensor	Applicable License Terms & Usage in or Combined with Software

**6. Public Funds**

Please specify if the Software has been made in the course of one or more publicly funded projects (e.g. BMBF, DFG, EU).

Funding organisation	research project (project name, funding/grant number)

If available, please attach the filled in form "Mitteilung des Förderkennzeichens bei Schutzrechtsanmeldungen" (= attachment to the grant decision).

### **7. Publications**

Please specify if publications (orally, in writing, or electronically), e.g. public talks, abstracts, poster presentations, diploma or doctoral thesis, e.g. online-publications in advance of print publications have been made or are intended.

If yes, please list here or provide the documents as attachment.

kind and place of publication	(intended) date of publication

### **8. Signatures**

By your signature, you confirm that the above statements have been made carefully, and that they are, to the best of your current knowledge, complete and correct.

You herewith confirm that you will inform immediately the Head of Department and Max-Planck-Innovation in the event that after the signature date, you become aware of new facts following which the above statements need to be amended or revised, and that you will, if necessary, generate and sign an amended or revised software invention disclosure.

No. (see section 2 a) above)	date	signature
1.		
2.		
3.		
4.		
5.		

### **[9. To be filled in by the Administrative Head of the MPI:**

We herewith confirm that the statements of the authors made in sections 2 a), 3, 4, 5 and 6 are correct.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name

]